

Securities

CALI Lessons:

Section 16(b) of the Securities Exchange Act of 1934

This lesson discusses sections 16(a) and 16(b) of the Securities Exchange Act of 1934. It begins with a discussion of the section 16(a) reporting requirement: who must file, what they must file, and when. It then proceeds with an element-by-element discussion of liability under section 16(b) for short-swing trading profits, including a brief introduction to the complexities introduced by derivative securities. It concludes with a discussion of enforcement issues: standing, limitations, and the right to attorneys' fees.

No prior knowledge of federal securities law is assumed, but the lesson does assume a general familiarity with corporate securities and the basic structure of the corporation.

Lesson Completion Time: 70 minutes

Author: Steve Bradford, Earl Dunlap Distinguished Professor of Law, University of Nebraska - Lincoln College of Law

The Capital Asset Pricing Model

This lesson teaches the Capital Asset Pricing Model. It begins by discussing risk and its control by diversification, and how betas measure the risk of diversified portfolios. The lesson is designed to guide the student through the CAPM in detail as part of a Corporate Finance or Mergers & Acquisitions course. In courses that do not cover the CAPM in detail, such as Business Association courses or Securities Regulation, this lesson can be used as further study or for skimming.

Lesson Completion Time: 1-5 hours

Author: Nicholas Georgakopoulos, Harold R. Woodard Professor of Law, Indiana University - Indianapolis School of Law

The Registration Process - Part 1: Offers

This is the first of two of lessons about section 5 of the Securities Act of 1933.

Lesson Completion Time: 2 hours

Author: Nicholas Georgakopoulos, Harold R. Woodard Professor of Law, Indiana University - Indianapolis School of Law

The Registration Process - Part 2: Prospectus & Effectiveness

This is the second and final of a series of lessons about the registration process of section 5 of the Securities Act of 1933.

Lesson Completion Time: 45 minutes

Author: Nicholas Georgakopoulos, Harold R. Woodard Professor of Law, Indiana University - Indianapolis School of Law